

DIXIE GROUP INC Reported by FRIERSON DANIEL K

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 03/13/20 for the Period Ending 03/12/20

Address PO BOX 2007 **DALTON, GA, 30722** Telephone 7068765814 CIK 0000029332 Symbol DXYN SIC Code 2273 - Carpets and Rugs Industry Home Furnishings **Consumer Cyclicals** Sector **Fiscal Year** 12/28

Powered By EDGAR Online

http://www.edgar-online.com

© Copyright 2020, EDGAR Online, a division of Donnelley Financial Solutions. All Rights Reserved. Distribution and use of this document restricted under EDGAR Online, a division of Donnelley Financial Solutions, Terms of Use.

FORM 4	
--------	--

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*]	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer					
		(Check all applicable)					
FRIERSON DANIEL K	DIXIE GROUP INC [DXYN]						
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X_Director10% Owner					
		X_ Officer (give title below) Other (specify below)					
		Chairman of the Board & CEO					
PO BOX 2007	3/12/2020	Chairman of the Board & CEO					
PO BOX 2007 (Street)		Chairman of the Board & CEO 6. Individual or Joint/Group Filing (Check Applicable Line)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

					_					
1.Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	(Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Beneficial
			Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	
Common Stock, \$3 par value	3/12/2020		Α		2372 <u>(1)</u>	Α	\$0	58786	D	
Common Stock, \$3 par value	3/12/2020		F		<u>6982 ⁽²⁾</u>	D	\$1.23	49304 <u>(3)</u>	D	
Class B Common Stock, \$3 par value	3/12/2020		Α		22628 <u>(1)</u>	Α	\$0	470326 <u>(4)</u>	D	
Class B Common Stock, \$3 par value								5486	I	by Self as Trustee
Class B Common Stock, \$3 par value								94879	I	by Spouse

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	3A. Deemed Execution Date, if any	d 4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date				Derivative Security (Instr. 5)	Securities Beneficially	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			Direct (D) or Indirect (I) (Instr. 4)	
Employee Stock Option (right to buy)	\$4.59							5/30/2019	5/30/2022	Common Stock, \$3 par value	40000.0		40000	D	

Explanation of Responses:

- (1) Represents an award of restricted stock consisting of 25,000 Career Shares. At Mr. Frierson's election, 2,364 of such shares are Common Stock and 22,636 of such shares are Class B Common Stock.
- (2) Represents shares surrendered to Company to satisfy income tax withholding requirements with respect to vesting of an outstanding award of restricted stock.
- (3) Adjusted to reflect cancellation of Restricted Stock Award of 2,500 Common Stock shares on December 29, 2018.
- (4) Adjusted to reflect cancellation of Restricted Stock Award of 97,500 Class B shares on December 29, 2018.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Othe				
FRIERSON DANIEL K								
PO BOX 2007	Х		Chairman of the Board & CEO					
DALTON, GA 30722								

Signatures

/s/ John F. Henry, Jr., by Power of Attorney for Daniel K. Frierson

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.